<u>PATENT</u>

Attorney Docket No.: 019748-000110US

No.534- -P.6 ---

230 C 4.2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JIVENDRA K. KALB et al.

Application No.: 09/672,116

Filed: September 27, 2000

For: INVESTMENT PORTFOLIO CONSTRUCTION METHOD AND

SYSTEM

Customer No.: 20350

Confirmation No. 7098

Examiner:

COLBERT, ELLA

Technology Center/Art Unit: 3624

AMENDMENT

MAIL STOP RCE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir/Madam:

Kindly enter the following Amendment prior to the examination of the aboveidentified application.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

replacement sheet and an annotated sheet showing changes.

Remarks/Arguments begin on page 7 of this paper.